

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Jérôme Assal, Simon Eicher and Erich Nanser (inventors)

claiming Priority under 35 U.S.C. 119

of application No.: 01811117.9

in: Europe

with filing date of: 2002-10-23

U.S. Serial Number:

U.S. filing date:

Title:	Method for fabricating a stepped profile from a layer sequence
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INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

Honorable Commissioner of Patents and Trademarks, Washington, D.C. 20231

Sir:

Submitted herewith is a Search Report/Examination Report issued in a corresponding foreign patent application by the

European Patent Office	(X)
German Patent Office	()
European Patent Office	(X) PCT

1. We wish to make of record the certain citations listed in the Search Report/Examination Report in accordance with 37 CFR 1.97.

2. The relevance of each listed item with regard to the different claims of the above-identified application according to the opinion of the Search Authority/Examination Authority, is indicated in the Search Report/Examination Report

by reference to certain categories which are explained on the Report sheet or in MPEP on page 1800 - 55, resp. (x)

(1)

3. The claims as filed in the above-identified U.S. patent application

correspond to ☒ (x)

are different from ☐ ()

the claims for which the Search Report/Examination Report (PCT) has been established.

4. Copies of the references cited are enclosed herewith.

All citations ☒ (x)

All relevant citations except U.S. patents ☐ ()

5. English translations of pertinent portions of any non-English references are enclosed herewith, if such translation is readily available.

6. No copies are enclosed herewith of references which are characterized by an "A" in the Search Report/Examination Report, since "A" indicates that such reference is not relevant for the invention. ☐ ()

Additionally, copies of the references cited in the specification are enclosed. ☐ (-)

Respectfully submitted,

Date: 31.04.2005

Th. Berner

by:

Thomas Berner

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)
Jerome ASSAL et al.) Group Art Unit:
Application No.: Unassigned) Examiner:
Filed: April 22, 2005) Confirmation No.:
For: METHOD FOR FABRICATING A)
STEPPED PROFILE FROM A LAYER)
SEQUENCE)
)
)
)

FIRST INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, the accompanying information is being submitted in accordance with 37 C.F.R. §§ 1.97 and 1.98.

The listed document was cited in the International Search Report in the corresponding PCT application.

To assist the Examiner, the document is listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date April 22, 2005

By: 

Patrick C. Keane
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10/532313

Substitute for form 1449A/PTO & 1449B/PTO

FIRST INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1

of

1

Completed if Known

Application Number

1013 Rec. CT/PTO 22 APR 2005

Filing Date

April 22, 2005

First Named Inventor

Jerome ASSAL et al.

Examiner Name

Attorney Docket Number

004501-810

U.S. PATENT DOCUMENTS

Examiner Initials	Document Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Issue/Publication Date (MM-DD-YYYY)

FOREIGN PATENT DOCUMENTS

Examiner Initials	Document Number	Kind Code (if known)	Country	Date of Publication (MM-DD-YYYY)	STATUS						
					Translation	Partial Translation	Eng. Lang. Summary	Search Report	IPER	Abstract	Cited In Spec
	* 0 586 890	A2	EP	03-16-1994				X			

* COPY ATTACHED

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

Examiner Signature

Date

Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.
Form Letters I